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Asymptotic behaviour of the Euler–Kronecker constant

M. A. Tsfasman

To Volodya Drinfeld with friendship and admiration

Abstract. This appendix to the beautiful paper [1] of Ihara puts it in the context of infinite global fields of our papers [2] and [3]. We study the behaviour of Euler–Kronecker constant γ_K when the discriminant (genus in the function field case) tends to infinity. Results of [2] easily give us good lower bounds on the ratio $\gamma_K / \log \sqrt{|d_K|}$. In particular, for number fields, under the generalized Riemann hypothesis we prove

$$\liminf \frac{\gamma_K}{\log \sqrt{|d_K|}} \geq -0.26049 \dots$$

Then we produce examples of class field towers, showing that

$$\liminf \frac{\gamma_K}{\log \sqrt{|d_K|}} \leq -0.17849 \dots$$

1 Introduction

Let K be a global field, i.e., a finite algebraic extension either of the field \mathbb{Q} of rational numbers, or of the field of rational functions in one variable over a finite field of constants. Let $\zeta_K(s)$ be its zeta-function. Consider its Laurent expansion at $s = 1$

$$\zeta_K(s) = c_{-1}(s-1)^{-1} + c_0 + c_1(s-1) + \dots$$

In [1] Yasutaka Ihara introduces and studies the constant

$$\gamma_K = c_0/c_{-1}.$$

There are several reasons to study it:

- it generalizes the classical Euler constant $\gamma = \gamma_{\mathbb{Q}}$;
- for imaginary quadratic fields it is expressed by a beautiful Kronecker limit formula;
- for fields with large discriminants its absolute value is at most of the order of $\text{const} \log \sqrt{|d_K|}$, while the residue c_{-1} itself may happen to be exponential in $\log \sqrt{|d_K|}$, see [2].

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In this appendix we study asymptotic behaviour of this constant when the discriminant (genus in the function field case) of the field tends to infinity. It is but natural to compare Ihara's results [1] with the methods of infinite zeta-functions developed in [2].

Let $\alpha_K = \log \sqrt{|d_K|}$ in the number field case and $\alpha_K = (g_K - 1) \log q$ in the function field case over \mathbb{F}_q . In the number field case Ihara shows that

$$0 \geq \limsup_K \frac{\gamma_K}{\alpha_K} \geq \liminf_K \frac{\gamma_K}{\alpha_K} \geq -1.$$

We improve the lower bound to

Theorem 1. *Assuming the generalized Riemann hypothesis we have*

$$\liminf_K \frac{\gamma_K}{\alpha_K} \geq -\frac{\log 2 + \frac{1}{2} \log 3 + \frac{1}{4} \log 5 + \frac{1}{6} \log 7}{\frac{1}{\sqrt{2}-1} \log 2 + \frac{1}{\sqrt{3}-1} \log 3 + \log 5 + \frac{1}{\sqrt{7}-1} \log 7 + \frac{1}{2}(\gamma + \log 8\pi)} = -0.26049 \dots$$

Remarks. Unconditionally we get $\liminf \gamma_K/\alpha_K \geq -0.52227 \dots$

In the function field case using the same method we get $0 \geq \limsup \gamma_K/\alpha_K \geq \liminf \gamma_K/\alpha_K \geq -(\sqrt{q} + 1)^{-1}$, which, of course, coincides with Theorem 2 of Ihara's paper [1].

Let us remark that the upper bound 0 is attained for any asymptotically bad family of global fields, and that the lower bound in the function field case is attained for any asymptotically *optimal* family (such that the ratio of the number of \mathbb{F}_q -points to the genus tends to $\sqrt{q} - 1$), which we know to exist whenever q is a square. Hence, $\limsup \gamma_K/\alpha_K = 0$ and in the function field case with a square q , we have $\liminf \gamma_K/\alpha_K = -(\sqrt{q} + 1)^{-1}$.

In Section 3 we construct examples of class field towers proving (unconditionally)

Theorem 2.

$$\liminf_K \gamma_K/\alpha_K \leq -\frac{2 \log 2 + \log 3}{\log \sqrt{5 \cdot 7 \cdot 11 \cdot 13 \cdot 17 \cdot 19 \cdot 23 \cdot 29 \cdot 31 \cdot 37}} = -0.17849 \dots$$

This slightly improves the examples given by Ihara in [1].

In the number field case set $\beta_K = -(\frac{r_1}{2}(\gamma + \log 4\pi) + r_2(\gamma + \log 2\pi))$. If we complete γ_K by archimedean terms, we get

Theorem 3. *Let $\tilde{\gamma}_K = \gamma_K + \beta_K$. Then, under the generalized Riemann hypothesis, we have*

$$\liminf_K \frac{\tilde{\gamma}_K}{\alpha_K} \geq -(\gamma + \log(2\pi))/(\gamma + \log(8\pi)) = -0.6353 \dots$$

It is much easier to see that $\limsup \tilde{\gamma}_K/\alpha_K \leq 0$, and that 0 is attained for any *asymptotically bad* family (i.e., such that all ϕ 's vanish, see the definitions below).

The best example we know gives (unconditionally)

Theorem 4.

$$\liminf_K \tilde{\gamma}_K/\alpha_K \leq -0.5478 \dots$$

2 Bounds

Let us consider the asymptotic behaviour of γ_K . We treat the number field case (the same argument in the function field case leads to Theorem 2 of [1]). Let $|d_K|$ tend to infinity. By Lemma 2.2 of [2] any family of fields contains an *asymptotically exact subfamily*, i.e., such that for any q there exists the limit ϕ_q of the ratio of the number $\Phi_q(K)$ of prime ideals of norm q to the "genus" α_K , and also the limits $\phi_{\mathbb{R}}$ and $\phi_{\mathbb{C}}$ of the ratios of r_1 and r_2 to α_K . To find $\liminf \gamma_K/\alpha_K$ and $\liminf \tilde{\gamma}_K/\alpha_K$ it is enough to find corresponding limits for a given asymptotically exact family, and then to look for their minimal values. In what follows we consider only asymptotically exact families.

Theorem 5. *For an asymptotically exact family $\{K\}$ we have*

$$\lim_K \frac{\gamma_K}{\alpha_K} = - \sum \frac{\phi_q \log q}{q-1},$$

where q runs over all prime powers.

Proof. The right-hand side equals $\xi_{\phi}^0(1)$, where $\xi_{\phi}^0(s)$ is the log-derivative of the infinite zeta-function $\zeta_{\phi}(s)$ of [2]. The corresponding series converges for $\operatorname{Re} s \geq 1$ (Proposition 4.2 of [2]). We know ([1], (1.3.3) and (1.3.4)) that

$$\gamma_K = - \lim_{s \rightarrow 1} \left(Z_K(s) - \frac{1}{s-1} \right),$$

where for $\operatorname{Re}(s) > 1$

$$Z_K(s) = - \frac{\zeta'_K}{\zeta_K}(s) = \sum_{P, k \geq 1} \frac{\log N(P)}{N(P)^{ks}} = \sum_q \Phi_q(K) \frac{\log q}{q^s - 1}.$$

By the same Proposition 4.2, $\frac{\zeta'_K}{\zeta_K}(s) \rightarrow \xi_{\phi}^0(s)$ and hence $\gamma_K/\alpha_K \rightarrow \xi_{\phi}^0(1)$.

Proof of Theorem 1. We have to maximize $\sum \frac{\phi_q \log q}{q-1}$ under the conditions:

$$\phi_q \geq 0;$$

$$\text{for any prime } p \text{ we have } \sum_{m=1}^{\infty} m \phi_{p^m} \leq \phi_{\mathbb{R}} + 2\phi_{\mathbb{C}};$$

$$\sum_q \frac{\phi_q \log q}{\sqrt{q}-1} + \phi_{\mathbb{R}}(\log 2\sqrt{2\pi} + \frac{\pi}{4} + \frac{\gamma}{2}) + \phi_{\mathbb{C}}(\log 8\pi + \gamma) \leq 1 \text{ (Basic Inequality,}$$

GRH-Theorem 3.1 of [2]).

If we put

$$a_0 = \log \sqrt{8\pi} + \frac{\pi}{4} + \frac{\gamma}{2}, a_1 = \log 8\pi + \gamma, a_q = \frac{\log q}{\sqrt{q}-1}, b_0 = b_1 = 0, b_q = \frac{\log q}{q-1},$$

we are under conditions (1)-(4) and (i)-(iv) of Section 8 of [2].

Theorem 1 is now straightforward from Proposition 8.3 of [2]. Indeed, the maximum is attained for $\phi_{p^m} = 0$ for $m > 1$, $\phi_{\mathbb{R}} = 0$, and $\phi_2 = \phi_3 = \phi_5 = \phi_7 = 2\phi_{\mathbb{C}}$ (calculation shows that starting from $p' = 11$ the last inequality of Proposition 8.3 is violated).

Proof of Theorem 3. It is much easier. Since in this case all coefficients are positive and the ratio of the coefficient of the function we maximize to the corresponding coefficient of the Basic Inequality is maximal for $\phi_{\mathbb{C}}$, the maximum is attained when all ϕ 's vanish, except for $\phi_{\mathbb{C}}$.

Remarks. If we want unconditional results, then instead of the Basic inequality we have to use Proposition 3.1 of [2]:

$$2 \sum_q \phi_q \log q \sum_{m=1}^{\infty} \frac{1}{q^m + 1} + \phi_{\mathbb{R}}(\gamma/2 + 1/2 + \log 2\sqrt{\pi}) + \phi_{\mathbb{C}}(\gamma + \log 4\pi) \leq 1.$$

For $\tilde{\gamma}_K/\alpha_K$ one easily gets

$$\liminf \frac{\tilde{\gamma}_K}{\alpha_K} \geq -\frac{\gamma + \log(2\pi)}{\gamma + \log(4\pi)} = -0.7770\dots$$

The calculation for γ_K/α_K is trickier, since the last condition of Proposition 8.3 of [2] is not violated until very large primes. Changing the coefficients by the first term $(q+1)^{-1}$, Zykina [5] gets

$$\liminf \frac{\gamma_K}{\alpha_K} \geq -0.52227\dots$$

Note that (for an asymptotically exact family) $1 + \tilde{\gamma}_K/\alpha_K$ is just the value at 1 of the log-derivative $\xi(s)$ of the *completed infinite zeta-function* $\tilde{\zeta}(s)$ of [2].

3 Examples

Let us bound $\liminf \gamma_K/\alpha_K$ from above. To do this one should provide some examples of families. The easiest is, just as in Section 9 of [2], to produce quadratic fields having infinite class field towers with prescribed splitting. The proof of Theorem 1 suggests that we should look for towers of totally complex fields, where 2, 3, 5 and 7 are totally split. This is however imprecise, because the sum of Proposition 8.3 varies only slightly when we change p_0 . Therefore, I also look at the cases when 2, 3, 5, 7 and 11 are split, and when only 2, 3 and 5 are split, or even only 2 and 3. This leads to a slight improvement on (1.6.30) of [1].

Each of the following fields has an infinite 2-class field tower with prescribed splitting (just apply Theorem 9.1 of [2]), and Theorem 5 gives the following list. For $\mathbb{Q}(\sqrt{11.13.17.19.23.29.31.37.41.43.47.53.59.61.67})$ (the example of Thm.9.4 of [2]) \mathbb{R} , 2, 3, 5, 7 totally split, we get $\liminf \gamma_K/\alpha_K \leq -0.1515\dots$. For $\mathbb{Q}(\sqrt{-13.17.19.23.29.31.37.41.43.47.53.59.61.73.79})$ (the example of Theorem 9.5 of [2]) with 2, 3, 5, 7, and 11 split we get $-0.1635\dots$. For $\mathbb{Q}(\sqrt{-7.11.13.17.19.23.29.31.37.41.43.79})$ with 2, 3, 5 split we get $-0.1727\dots$. For $\mathbb{Q}(\sqrt{-7.11.13.17.19.23.29.31.37.41.47.59})$ with 2, 3, 5 split we get $-0.1737\dots$. An even better example is found by Zykin [5]: $\mathbb{Q}(\sqrt{-5.7.11.13.17.19.23.29.31.37})$ with 2 and 3 split gives us $-0.17849\dots$. This proves Theorem 2.

For $\liminf \tilde{\gamma}_K/\alpha_K$ the Martinet field $\mathbb{Q}(\cos \frac{2\pi}{11}, \sqrt{2}, \sqrt{-23})$ (see Theorem 9.2 of [2]) gives $-0.5336\dots$. The best Hajir-Maire example (see [4], Section 3.2) gives $\liminf \tilde{\gamma}_K/\alpha_K \leq -0.5478\dots$. This proves Theorem 4.

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